



6673 E County Road 100 S
Avon, Indiana 46123

Privacy Notice

If you have any questions about the contents of this Brochure, please contact us at (317) 584-6448.

Migration Wealth Management, LLC (“MWM”) recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. MWM will not disclose your personal information to anyone unless it is required by law. We will not sell your personal information.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.
- We collect your phone number and consent status to provide you with SMS services. This information is used solely for the purpose of delivering text messages related to the campaign you have opted into.

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. MWM may disclose client's information: (1) to individuals and/or entities not affiliated with MWM, including, but not limited to certain service providers (i.e., broker-dealer, sub-advisers, account custodian, record keeper, etc.) in furtherance of the client's engagement with MWM to service your account; (2) to your authorized representative or power of attorney; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations.

Since MWM shares nonpublic information solely to service client accounts, the firm does not disclose any nonpublic personal information about the firm’s customers or former customers to anyone, except as permitted by law. In the event that the firm has a change to its client privacy policy that would allow it to

The logo features a blue arc above the word "MIGRATION" in a large, dark blue, sans-serif font. Below "MIGRATION" is the phrase "WEALTH MANAGEMENT" in a smaller, green, all-caps, sans-serif font.

MIGRATION

WEALTH MANAGEMENT

disclose non-public information not covered under applicable law, the firm will allow its clients the opportunity to opt-out of such disclosure.

We will not share your opt-in to an SMS campaign with any third party for purposes unrelated to providing you with the services of that campaign. We may share your Personal Data, including your SMS opt-in or consent status, with third parties that help us provide our messaging services, including but not limited to platform providers, phone companies, and any other vendors who assist us in the delivery of text messages.

You have the right to access, correct, or delete your personal information. You can opt-out of receiving messages at any time by replying STOP.

How We Protect Your Personal Information

Privacy has always been important to MWM. We restrict and limit access to client information only to those who need to carry out their business functions. We safeguard client information by preventing its unauthorized access, disclosure, or use. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information. Arrangements with companies or independent contractors not affiliated with MWM will be subject to confidentiality agreements.

We are committed to protecting your personal information and have implemented appropriate technical and organizational measures to ensure its security.

Former Clients

Even if we cease to provide you with financial services, our Privacy Policy will continue to apply to you, and we will continue to treat your nonpublic information with strict confidentiality.

Contact Us

Clients are encouraged to discuss any questions regarding privacy policies and procedures directly with Drew Feutz, Chief Compliance Officer of MWM. Please contact Drew Feutz at (317) 584-6448.